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Application Number

09/715,764

Filing Date

November 15, 2000

First Named Inventor

Heinz-Josef LENZ

Art Unit

1634

Examiner Name

Jehanne Souaya Sitton

Attorney Docket Number

13761-0739

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/
Incomplete Application☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
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Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board
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Signature

Printed Name

Antoinette F. Konski

Date

June 23, 2005

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**POWER OF ATTORNEY
By Assignee**

University of Southern California, assignee(s) of the application for United States Letters Patent for an improvement in

GENOMIC POLYMORPHISM FOR PREDICTING THERAPEUTIC RESPONSE

Heinz-Josef LENZ, Sheeja Thankappan PULLARKAT and Yi Ping XIONG

the specification of which:

- ☐ is filed herewith, OR
☒ was filed on November 15, 2000, having U.S. Patent Application Serial No. 09/715,764.

does hereby appoint as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefor before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified application, all of the registered practitioners identified by:

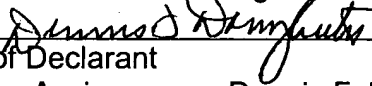
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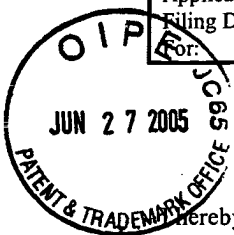
I, the undersigned, declare that I have reviewed copies of the documentary evidence establishing chain of title to the patent application identified above from the inventor(s) to the assignee(s), which:

- ☐ is filed for recordation herewith; or
☒ was recorded on April 9, 2001, at Reel 011704, Frame 0952; or
☐ has been sent for recordation under separate cover, copy attached herewith.

To the best of the undersigned's knowledge and belief, title is in the assignee(s) identified above. Furthermore, the undersigned is empowered to sign this document on behalf of the assignee(s).

Full Name of Assignee: University of Southern California	
Post Office Address: 3716 South Hope Street, Suite 313, Los Angeles, CA 90007-4344	
Signature of Declarant or Assignee: 	Date: 5-5-05
Full Name of Declarant	
If Other Than Assignee: Dennis F. Dougherty	
Title of Declarant: Senior Vice President-Admin.	
Address of Declarant: 3716 South Hope Street, Suite 313, Los Angeles, CA 90007-4344	

Applicant **Heinz-Josef LENZ et al.** Attorney Docket No.: **13761-0739**
Application No.: **09/715,764**
Filing Date: **November 15, 2000**
For: **GENOMIC POLYMORPHISM FOR PREDICTING THERAPEUTIC RESPONSE**



STATEMENT CLAIMING SMALL ENTITY STATUS
(37 C.F.R. § 1.27(a)(3)(ii)(A)) – NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

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- ☒ the application identified above.
- ☐ the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.27(a)(2) or a nonprofit organization under 37 C.F.R. 1.27(a)(3).

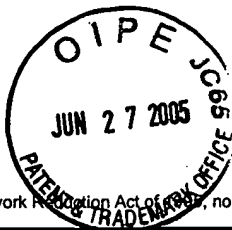
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. § 1.27(g)(1)).

Name of person signing: **Dennis F. Dougherty**

Title in organization of person signing **Senior Vice President-Admin**

Address of person signing: **3716 South Hope Street, Suite 313, Los Angeles, CA 90007-4344**

Signature: *Dennis F. Dougherty* Date: *5-5-05*



PTO/SB/122 (09-04)

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Application Number	09/715,764
Filing Date	November 15, 2000
First Named Inventor	Heinz-Josef LENZ
Art Unit	1634
Examiner Name	Jehanne Sitton
Attorney Docket Number	13761-0739

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Certificate under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).
- ☒ Attorney or agent of record. Registration Number 34,202.
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____.

Signature

Typed or Printed
NameAntoinette F. Konski
Bingham McCutchen LLP

Date

June 23, 2005

Telephone

650-849-4950

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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